THE CHALLENGE

Compliance management requires a holistic view of portfolio, trading, and counterparty exposures, as well as real-time monitoring and alerts across all portfolios, which Excel and third party solutions cannot effectively deliver.

THE SOLUTION

Black Mountain integrates current exposure and market data to deliver compliance at all stages of trade life cycle, including hypothetical testing, pre-trade, trade allocation, post-trade, and ongoing portfolio monitoring across all portfolios in real-time.

PRODUCT FEATURES

- Reports real-time compliance test results and trading capacities across each fund for every asset & rule and pre- & post-trade
- Allows users to view compliance results at any stage of the trade life cycle and end-of-day
- Provides cross-portfolio hypothetical trade capability, historical compliance analysis, model portfolios, and side-by-side comparison of scenarios
- Offers extensive test library including all industry standard tests for CLO, CLO 2.0, 40 Act, and Muni fund types
- Utilizes rules engine that can handle any rule type, regardless of complexity
- Offers restriction capabilities for trades, issuers, assets, and counterparties
- Provides fully audited results with compliance breach and cure management workflows
- Offers automated and customizable summary and detail reports and dashboards, including compliance trend reporting
- Allows users to stand up their own funds and make test revisions/additions independently
- Provides configurable trade compliance rules such as best execution and inter-portfolio trading limitations
- Offers rule-based breach and other alert notifications to keep you informed in real-time
BLACK MOUNTAIN IS A SOFTWARE COMPANY that develops innovative, highly configurable solutions for global asset managers in the areas of data aggregation, process management, and business reporting. Our suite of best-practice solutions includes:

- Portfolio Management
- Trade Order Management
- Compliance
- Research Management
- Private Debt

Performance Attribution
Data Warehouse
Enterprise Data Management (EDM)
Investment Accounting
Asset Servicing

Our platform eliminates the boundaries between systems, information, and people, allowing employees to focus on high-value activities that drive investment performance.

ABOUT BLACK MOUNTAIN

FOUNDED
2007

CLIENTS
95+

EMPLOYEES
175+

OFFICES
San Diego
New York
London
Houston

OUR CLIENTS

We work with many of the world’s leading investment managers, credit funds, hedge funds, private equity, direct lenders and banks who use our platform to manage all varieties of fixed income, alternatives, and equities including tradable loans, derivatives, real estate/mortgages, municipal finance, and FX/currencies. The list below is a representative client list.

- Alcentra
- Ally
- Antares Capital
- Apollo
- Ares
- Bain Capital Credit
- Barings
- Blackstone GSO
- Cambridge Associates
- Capital Four
- The Carlyle Group
- Eaton Vance
- Franklin Templeton
- Golub Capital
- Hamilton Lane
- Highbridge
- ICG
- Invesco
- Jefferies
- KKR
- Orchard Global
- TIAA
- TPG

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